

1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Serial No.09/814,337
3 Filing Date.....3/21/2001
4 Confirmation No.3684
5 Inventorship..... William J. Bolosky
6 Assignee..... Microsoft Corporation
7 Group Art Unit..... 2135
8 Examiner Thomas A. Gyorfi
9 Attorney's Docket No.MS1-735US
10 Title: On-Disk File Format for Serverless Distributed File System with Signed
11 Manifest of File Modifications

12 **INFORMATION DISCLOSURE STATEMENT AND**
13 **CERTIFICATION UNDER 37 CFR 1.97(e)**

14 The citations listed, copies attached, may be material to the examination of
15 the subject application and are therefore submitted in compliance with the duty of
16 disclosure defined in 37 CFR §1.56. The Examiner is requested to make these
17 citations of official record in this application.

18 I hereby certify that to my knowledge, after reasonable inquiry, no item of
19 information contained in the accompanying PTO/SB/08A and PTO/SB/08B was
20 cited in a communication from a foreign patent office in a counterpart foreign
21 application or was known to any individual designated in §1.56 (c) more than 3
22 months prior to the filing of this statement.

23 Respectfully Submitted,

24 Date: December 29, 2005

25 By: 

 Tim R. Wyckoff
 Reg. No. 46175
 (206) 315-4001, ext 110

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

Complete if Known

Application Number	09/814,337
Filing Date	3/21/2001
First Named Inventor	William J. Bolosky
Art Unit	2135
Examiner Name	Thomas A. Gyorf
Attorney Docket Number	MS1- 735US

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/814,337
Filing Date	3/21/2001
First Named Inventor	William J. Bolosky
Art Unit	2135
Examiner Name	Thomas A. Gyorfi
Attorney Docket Number	MS1- 735US

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		K. BORG, "Digital Signatures Keep Cyberstreets Safe for Shoppers," Computer Technology Review, Vol. 16, No. 2, February 1996, page 1.	
		J. HU, "Some Thoughts on Agent Trust and Delegation," AGENTS '01, 2001, pp. 489-496.	

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.